

PRODJUS DATA COLLECTION INSTRUMENT 11: KEY INFORMANT INTERVIEWS

This guide is for KEY INFORMANTS in timber harvesting and trading. This could involve policy-makers, NGO practitioners, lobbyists, industry associations or other respondents in a position to help to identify the KEY issues and LOCATIONS for the research. Note, this interview may be combined with a 2x interview if appropriate, either in the same session or a separate interview. The questions in this instrument are more general than in the 2x series, and have the additional aim of directing the researcher toward points of interest, although there is still some overlap.

Before starting the interview, be sure to:

- explain the purpose of the research to the respondent
- inform the respondent that her or his participation is voluntary
- inform the respondent how to contact you if they want to change their answers
- agree on anonymity level as:
 - completely anonymous
 - name anonymous, but organisational affiliation not anonymous
 - no anonymity
- Note the interview code based on the coding schedule
- Ask how much time the respondent has
- Gain permission to proceed and note that permission has been granted.

This instrument is a guide. Each interview should touch on each section as appropriate.

Questions may have the following markings:

* = Always Ask

// = related to another question. [000//] = related questions follow [//000] = dependent on previous question.

[000] = root question number for coding reference

NOTE: Use the name of the local FLEGT/ EUTR-related programme.

	Sample Questions	Description	Likely variables
A	GOVERNANCE		
	* What are most important changes in forest management and governance [cultivation, harvesting, processing, manufacturing, policies], in the last 10 years? Why? [078]	Historical reflection on important changes- this will highlight the key issues that are important to the respondent either inhibiting or enabling their ability to benefit from timber.	Claim - other - constitutional Claim - other - technocratic Claim- other - functional or efficiency Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Land tenure Land use Legality Notions of Justice- recognition

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			Notions of Justice- distribution Notions of Justice- representation Actor mention Limitation
	* If you could make three changes to the way that timber is cultivated, harvested, traded or processed, what would they be? Why? [012]	Aspirations can be important for understanding claims. The question evokes responses that reveal the respondent's hopes for an ideal world in which claims are satisfied.	Claim - other - constitutional Claim - other - technocratic Claim- other - functional or efficiency Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Land tenure Land use Legality Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Actor mention Limitation
	* What do you understand the main issues in managing forests to be? [072]	General open question about main issues. There is nothing really specific we are looking for here, but the answers will be coded as important issues.	Accountability- downward Accountability- upward Claim - other - technocratic Claim- other - functional or efficiency Environment - climate change Environment - deforestation Environment - sustainability Financial Market- challenges Reputation Rules- adequacy Claim- formation
	Are changes in forest management and timber use more extreme now than they have been over the past several decades? [If yes], what were the main factors that created these 'abnormal times'? How do we evidence that these times are abnormal? [If no], In what ways are they similar to the past to justify your view that these are 'normal' changes or no changes?	Looking for changes from "business as usual" or "normal" that could indicate changes in the ways justice norms are practiced or implemented.	Corruption / malfeasance Environment - climate change Environment - deforestation Environment - sustainability Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Market- challenges Market- competition Market- fairness Participation/ exclusion

	[013]		
	Do you think that the forest is currently managed in a way that will leave future generations with sufficient access to forest resources- both timber and non-timber? Why? [014]	Generational and sustainability claims are important for understanding the basis of claims in fairness to the future.	Environment - sustainability Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Limitation
	Who sets [forest management or timber product trade] rules? [016//]	Getting at authority and perceptions who has control over access to the forest or resource trade (Gaining access).	
	[//016] Who enforces [forest management/ timber processing or trading] rules? [017//]	Actor mention for authority figures for enforcement (maintaining access/exclusion).	Authority Rules- Penalties- customary Rules- Penalties- other Rules- enforcement
	[//017] Who is involved in setting and enforcing [forest management and timber processing or trading] rules? Are certain people invited or excluded from the process? [prompt for customary and statutory] [018//]	Looking for mention of authority figures and actors excluded from the decision making process. Primary purpose of question is actor mentions or gaining access.	Authority Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Legitimacy - procedural Market- fairness Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Participation/ exclusion Rules- defiance Actor mention
--	Market		
	What are the main changes you have seen in the timber / timber product markets over the last 10 years? [064]	General enquiry into MARKET changes.	Change over time Globalisation Market- challenges
	Which actors are the most important in making the most	This is a general question that is about getting actor mentions specifically for the	Authority Change over time Actor mention

	important issues to the timber / wood product markets? Why? [065]	market, where past questions have been about governance and rule setting.	
	What the effects of the timber markets on forests? [067]	General question again on markets and forests.	Environment - deforestation Environment - sustainability
--	FLEGT / FSC		
	* Do you know what [FLEGT/FSC] is? [if yes] How would you describe it? [if yes] How do you think [FLEGT/FSC] have influenced forest management and governance? [032//]	This question both acts as a filter for following questions and gets an idea of what people's perspective on FLEGT / FSC is. They may describe in terms of market access, governance, or environmental protection, or others.	Environment - deforestation Governance - FLEGT Governance - FSC Definition Market- relations
	[//032=YES] How effectively do [FLEGT/FSC] address the most important forest issues today? Which of those issues remain today [ie the ones that FLEGT/FSC were unable to address]? [031]	Overall assessment of FLEGT/FSC as addressing most important issues. This is a nice closing question for the section and an effective transition to the next section.	Change over time Environment - climate change Environment - deforestation Environment - sustainability Globalisation Governance - FLEGT Governance - FSC Land tenure Land use Legality Market- fairness
	In what ways do different forest governance innovations, such as [FLEGT], REDD+, FSC, PEFC and others influence one another? How are their claims of legitimacy different from one another? To what extent are competing claims of effectiveness and legitimacy productive or	Comparison of FLEGT and other forest governance innovations will pit claims of justice and legitimacy against one another.	Financial Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Market- fairness Market- relations Limitation Claim- formation

	counterproductive in addressing deforestation and forest degradation? [054]		
	[[//032=YES] What are the advantages and disadvantages (to different actors) of state-led legality verification schemes (such as FLEGT, the US Lacey Act and others) compared with market-based non-state solutions such as FSC and PEFC? [060]	Comparing FLEGT TO FSC	Governance - FLEGT Governance - FSC Governance - Other State Governance - Other non-State Land tenure Land use Legality Legitimacy - outcome/ effect Legitimacy - procedural Loyalty Market- fairness Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Reputation Trust Actor mention Conflicting perspectives Market- relations
	[[//032=YES] Who are the main people or institutions involved in [FLEGT/FSC]? [068//]	Specific actor mentions for FLEGT and FSC	Authority Participation/ exclusion Actor mention
	[[//068] [IF //032=YES] Who are the main people or institutions left out of [FLEGT/FSC] discussions or whose influence is under represented? [069]	Actor mentions for exclusion from FLEGT FSC	Participation/ exclusion Actor mention
	[[//032=YES] What [would happen / would have happened] if [country] [did not / doesn't] sign the VPA? [085]	This is a hypothetical question that builds the scenario of the country not joining the VPA. The answers will be interesting to understand the effect of the VPA or perceived potential effect of the VPA.	Claim - other - technocratic Claim- other - functional or efficiency Governance - FLEGT Market- challenges Market- competition Market- fairness Market- relations

B	JUSTICE		
--	FLEGT/FSC		
	* How important is it for the timber and wood product market to trade 'legal' timber? How important is 'illegal' timber and wood products for the market? [084//]	Different actors are likely to have different impressions of level of importance of illegal and legal. This will be an interesting contrast for understanding justice.	Legality Market- fairness
	* [//084] What do you mean by legal timber / wood products? What do you mean by illegal timber/ wood products? [081]	Question gets at definitions of legality. The contrasts by different type of actors will be interesting and we will highlight typologies of definitions by actor type.	Claim - other - constitutional Legality Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Definition
	Why would someone [you] want to or not want to trade legal or illegal timber or wood products? [083]	Looks for motivation or incentive. Likely responses will be that illegal is less complicated and legal is safer and more responsible.	Claim - other - constitutional Claim - other - technocratic Claim- other - functional or efficiency Legality Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Claim - other - generational
	* How important is it for the timber and wood product market to trade 'legal' timber? How important is 'illegal' timber and wood products for the market? [084]	Different actors are likely to have different impressions of level of importance of illegal and legal. This will be an interesting contrast for understanding justice.	Legality Market- fairness
	[//032=YES] What do you think about FSC/FLEGT? [Do you agree or disagree with it?] Why are you interested/ disinterested in FLEGT/FSC? Why? [033]	[//032=YES] What do you think about FSC/FLEGT? [Do you agree or disagree with it?] Why are you interested/ disinterested in FLEGT/FSC? Why? [033]	Claim - other - constitutional Claim - other - technocratic Claim- other - functional or efficiency Governance - FLEGT Governance - FSC Land tenure Legality Legitimacy - outcome/ effect Legitimacy - procedural

			Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Forest management Limitation Claim - other - generational
	[/032=YES] How do you think other actors' perspective on what is fair or not fair in terms of [FLEGT, FSC and forest management] is different than yours? [036]	This question looks at perceptions of the claims of others and is important to understand perceptions about how claims are made.	Claim - other - constitutional Claim - other - technocratic Claim- other - functional or efficiency Market- fairness Notions of Justice- recognition Notions of Justice- distribution Notions of Justice- representation Actor mention Conflicting perspectives Claim- formation
	What areas of the country do you understand there to be significant discontent with forest management and timber harvesting or processing? [try to get specific areas, down to the village if possible] [probe for communities with conflict, land conflicts, community forest management schemes; protests of manufacturers, illegal exports etc] [071]	This questions is for finding possible case study sites.	--
	Do you know any examples where forest management is going particularly well? Where? [try to get names of people and organisations if possible] [073]	This questions is for finding possible case study sites.	--

At the end of the interview, follow up with contact details for any actors mentioned.